CITY OF BRISBANE
CASH BALANCES & INVESTMENTS
SOURCE OF FUNDING
December 31, 2013

| NAME OF DEPOSITORY | INVESTMENT TYPE | DATE OF INVESTMENT | FACE VALUE OF INVESTMENT | CARRY VALUE OF INVESTMENT | MARKET VALUE OF INVESTMENT | COUPON INTEREST RATE % | MATURITY DATE | RATING/ COLLATERAL |
|---|---|---|---|---|---|---|---|---|
| BANK OF AMERICA | Checking A/C | | $ 5,474,103 | $ 5,474,103 | $ 5,474,103 | 0.000 | | 100% collateral |
| BANK OF AMERICA | Savings | | $ 11,663 | $ 11,663 | $ 11,663 | 0.040 | | |
| STATE FUND (LAIF) | Deposit on call | continuous | $ 5,037,865 | $ 5,037,865 | $ 5,037,865 | 0.270 | on call | no rating |
| *Other Investments* | | | | | | | | |
| | FFCB | 4/17/2013 | $ 500,000 | $ 500,000 | $ 494,215 | 0.690 | 4/17/2017 | |
| | FFCB | 5/1/2013 | $ 500,000 | $ 500,000 | $ 493,485 | 0.690 | 5/1/2017 | |
| | FFCB | 5/30/2013 | $ 500,000 | $ 500,000 | $ 494,940 | 0.750 | 5/30/2017 | |
| | FFCB | 12/5/2012 | $ 1,000,000 | $ 999,400 | $ 987,080 | 0.770 | 6/5/2017 | |
| | FHLB | 6/13/2013 | $ 500,000 | $ 500,000 | $ 493,690 | 0.750 | 6/13/2017 | |
| | FFCB | 11/16/2012 | $ 500,000 | $ 500,000 | $ 488,425 | 0.940 | 11/13/2017 | |
| | FFCB | 11/27/2012 | $ 1,000,000 | $ 1,000,000 | $ 978,610 | 0.870 | 11/27/2017 | |
| | FNMA | 8/26/2013 | $ 500,000 | $ 500,000 | $ 498,430 | 1.500 | 11/26/2017 | |
| | FHLB | 2/20/2013 | $ 500,000 | $ 500,000 | $ 486,535 | 1.100 | 2/20/2018 | |
| | FNMA | 2/27/2013 | $ 500,000 | $ 500,000 | $ 485,925 | 1.050 | 2/27/2018 | |
| | GE Capital Corporation | 6/7/2013 | $ 500,000 | $ 498,750 | $ 494,688 | 1.625 | 4/28/2018 | |
| | FHLB | 4/23/2018 | $ 500,000 | $ 500,000 | $ 457,330 | 1.000 | 4/23/2018 | |
| | FHLM | 7/25/2013 | $ 1,000,000 | $ 1,000,000 | $ 967,050 | 1.800 | 7/25/2018 | |
| | FHLB | 12/18/2013 | $ 500,000 | $ 500,000 | $ 493,990 | 1.750 | 12/18/2018 | |
| BONY | Treasury Obligations | continuous | $ 758,417 | $ 758,417 | $ 758,417 | 0.000 | on call | 110% collateral |
| Sub-total | | | $ 9,258,417 | $ 9,256,567 | $ 9,124,809 | | | |
| U. S. Trust (Cash held by fiscal agents) | 2012 Utility (320) | Refinancing | Fed Treas Obl | $ - | 10030 | | | |
| | | Revenue Fund | Fed Treas Obl | $ - | 10034 | | | |
| | | Expense Fund | Fed Treas Obl | $ - | 10035 | | | |
| | | Interest Fund | Fed Treas Obl | $ - | 10037 | | | |
| | Pension Bonds (340) | Expense Fund | Fed Treas Obl | $ 493,363 | 10035 | | | |
| | BPFA 2001 Series A (370) | Reserve | Fed Treas Obl | $ - | 10032 | | | |
| | | Debt Service | Fed Treas Obl | $ - | 10036 | | | |
| | | Interest | Fed Treas Obl | $ - | 10037 | | | |
| | BFPA 2001Series B (375) | Purchase Fund | Fed Treas Obl | $ 5,145 | 10028 | | | |
| | | Escrow | Fed Treas Obl | $ - | 10030 | | | |
| | | Reserve | Fed Treas Obl | $ 839,791 | 10032 | | | |
| | | Surplus Fund | Fed Treas Obl | $ - | 10033 | | | |
| | | Expense Fund | Fed Treas Obl | $ - | 10035 | | | |
| | | Debt Service | Fed Treas Obl | $ - | 10036 | | | |
| | | Interest | Fed Treas Obl | $ - | 10037 | | | |
| | BPFA 2009A City Hall (367) | Improvements | Fed Treas Obl | $ - | 10031 | | | |
| | | Reserve | Fed Treas Obl | $ 195,222 | 10032 | | | |
| | | Revenue Fund | Fed Treas Obl | $ 225,684 | 10034 | | | |
| | | Expense Fund | Fed Treas Obl | | 10035 | | | |
| | | Interest | Fed Treas Obl | $ 1 | 10037 | | | |
| | BPFA 2005B City Hall(366) | Improvements | Fed Treas Obl | $ - | 10034 | | | |
| | | Expense Fund | Fed Treas Obl | $ - | 10035 | | | |
| | | Interest | Fed Treas Obl | $ 1 | 10037 | | | |
| | 2005 Refunding (365) | Improvements | Fed Treas Obl | $ - | 10030 | | | |
| | | Revenue Fund | Fed Treas Obl | $ - | 10034 | | | |
| | | Expense Fund | Fed Treas Obl | $ - | 10035 | | | |
| | | Debt Service | Fed Treas Obl | $ - | 10036 | | | |
| | NER Assessment 2001 (795) | | Fed Treas Obl | $ - | 10030 | | | |
| | | Improvements | Fed Treas Obl | $ - | 10031 | | | |
| | | Reserve | Fed Treas Obl | $ 1,067 | 10032 | | | |
| | | Redemption | Fed Treas Obl | $ - | 10039 | | | |
| | NER Refinance (796) | | Fed Treas Obl | $ - | 10030 | | | |
| | | Improvements | Fed Treas Obl | $ - | 10031 | | | |
| | | Reserve | Fed Treas Obl | $ 250,006 | 10032 | | | |
| | | Redemption | Fed Treas Obl | $ 6,475 | 10035 | | | |
| Sub-total | Cash with Fiscal Agents | | | $ 2,016,754 | | | | |
| | Total other investments | | $ 9,258,417 | $ 11,273,320 | $ 9,124,809 | | | |
| TOTAL INVESTMENTS & CASH BALANCES | | | $ 19,782,947 | $ 21,796,951 | $ 19,648,440 | | | |

Outstanding Loans to Department Heads

| | Date of loan | Amount | Amount Remaining | Interest Rate |
|---|---|---|---|---|
| **Stuart Schillinger** | **4/1/2002** | **318,750** | **$ 318,750** | **Based on Sales Price** |
| **Clay Holstine (1)** | **7/8/2008** | **300,000** | **$ 262,945** | **2.25%** |
| ***Clay Holstine (2)*** | ***9/10/2008*** | ***200,000*** | ***$ 200,000*** | ***Secured by other funds*** |
| **Randy Breault** | **10/22/2001** | **320,000** | **$ 220,774** | **Variable (1.24%)** |

FFCB - Federal Farm Credit Bank
FHLB - Federal Home Loan Bank
FHLM - Federal Home Loan Mortage Corporation
FNMA -Federal National Mortgage Association

| | | |
|---|---|---|
| Two year Treasury | 0.38% | |
| Weighted Interest | 0.54% | |
| Weighted maturity | 1.90 | Years |

**TREASURER'S CERTIFICATE**

These are all the securities in which the city funds including all trust funds and oversight agencies funds are invested and that (excluding approved deferred compensation plans) and that all these investments are in securities as permitted by adopted city policy.

It is also certified that enough liquid resources (including maturities and anticipated revenues) are available to meet the next six months' cash flow.

CITY TREASURER

CITY OF BRISBANE
CASH BALANCES & INVESTMENTS
SOURCE OF FUNDING
December 31, 2013

| FUND NO. | FUND TITLE | CASH/INVESTMENT BALANCE |
|---|---|---|
| 100 | General Fund | 4,095,242 |
| 200 | Gas Tax Fund | 331,276 |
| 201 | Traffic Congestion Relief Fund | - |
| 203 | Proposition 1B | - |
| 205 | Measure A Funds | 595,944 |
| 210 | Sierra Pt Lighting & Landscape Fund | (99,919) |
| 220 | NPDES | (124,530) |
| 230 | Open Space Fund | |
| 250 | OTS Grant | 22,524 |
| 251 | L.L.E.B.G. | 27,313 |
| 252 | Technology Grant | - |
| 253 | COPS/State Funded Personnel Grant | - |
| 254 | SLESF Grant | 44,117 |
| 255 | Public Works Grant | - |
| 257 | BZPP Grant | |
| 258 | Recycling Grant | - |
| 259 | PEG Equipment Grant | 46,309 |
| 268 | Contract Employees | 37,274 |
| 270 | Fire Training | 3,146 |
| 310a | 2002 Utility Bond | - |
| 310 | 2002 Utility Bond w/Fiscal Agent | |
| 320a | 2012 Utility Bond | - |
| 320 | 2012 Utility Bond w/Fiscal Agent | - |
| 340 | Pension Obligation Bonds | - |
| 340a | Pension Obligation Bonds w/ Fiscal Agent | 493,363 |
| 341 | Pension Obligation Bonds 2013 | - |
| 341a | Pension Obligation Bonds w/ Fiscal Agent 2013 | |
| 360 | COP 95 Debt Service | - |
| 365a | Refunding 2005 w/Fiscal Agent | - |
| 365 | Refunding 2005 | - |
| 366 | BPFA 2005 B City Hall | |
| 366a | BPFA 2005 B City Hall w/Fiscal Agent | 1 |
| 367 | BPFA 2009 A City Hall | - |
| 367a | BPFA 2009 A City Hall w/Fiscal Agent | 420,908 |
| 370 a | BPFA 2001 A | - |
| 370 | BPFA 2001 A w/Fiscal Agent | 209,237 |
| 375a | BFPA 2001Series B w/Fiscal Agent | 844,935 |
| 375 | BFPA 2001Series B | 407,417 |
| 399 | General Longterm Debt | - |
| 400 | Capital Project Labor | 357,136 |
| 410 | Tunnel Bridge | |
| 430 | LID 79-1 Construction Fund | - |
| 440 | Special Beautification Proj.Fund | 2,539 |
| 450 | Facilities Fees | 2,096,472 |
| 540 | Utility Fund | 2,129,489 |
| 545 | Utility Capital Fund | - |
| 550 | Marina Fund | 8,949 |
| 555 | Marina Capital Reserve Fund | 1,098,255 |
| 600 | Fringe Benefits Fund | 12,273 |
| 610 | Flexible Benefits Trust | 154,603 |
| 620 | Dental Insurance Fund | 83,053 |
| 630 | General Liability Insurance Fund | 905,770 |
| 640 | Workers Compensation Insurance Fund | 255,819 |
| 650 | Retiree Stipend | 30,741 |
| 690 | Rainy Day Fund | 3,776,790 |
| 700 | Event Insurance | 175 |
| 705 | Professional Organizations | (457) |
| 715 | NER/Landmark Trust | 9,686 |
| 722 | Brisbane Recycling | 6,467 |
| 725 | Playground Equipment | 7,314 |
| 730 | Open Space | 101 |
| 750 | Sister City Fund | 834 |
| 751 | Piano Fund | 203 |
| 760 | OPUS Permits/Fees | 6,033 |
| 765 | OPUS Development Trust | 39,509 |
| 768 | HCP Endowment Trust | 1,785,258 |
| 770 | Revolving -I N.E.R | 690 |
| 775 | Revolving II S.P & TUNTEX | 204,764 |
| 777 | Margaret/Paul | 15,181 |
| 780 | Baylands Revolving | (108,917) |
| 781 | Baylands EIR | (9,445) |
| 782 | Geneva/Candlestick | (48,485) |
| 783 | Recology | 321,285 |
| 785 | Quarry Reimbursement | (148,012) |
| 786 | Slough Estates | 5,789 |
| 787 | Opus - Sierra Pt | - |
| 790 | Hotel Reimbursement | (24,125) |
| 795a | NER Assessment | - |
| 795 | NER Assessment w/Fiscal Agent | 1,067 |
| 796a | NER Refinance | 286,894 |
| 796 | NER Refinance w/Fiscal Agent | 256,481 |
| 883 | Housing Authority | 861,797 |
| | Outstanding checks/deposits/adjustments | 60,480 |
| | TOTAL CASH RESOURCES | 21,796,951 |